

Rec'd PTO 17 APR 2004



10/530617
T/EP 03/11034



INVESTOR IN PEOPLE

The Patent Office
Concept House
Cardiff Road
Newport
South Wales
NP10 8QQ

REC'D 26 NOV 2003

WIPO

PCT

I, the undersigned, being an officer duly authorised in accordance with Section 74(1) and (4) of the Deregulation & Contracting Out Act 1994, to sign and issue certificates on behalf of the Comptroller-General, hereby certify that annexed hereto is a true copy of the documents as originally filed in connection with the patent application identified therein.

In accordance with the Patents (Companies Re-registration) Rules 1982, if a company named in this certificate and any accompanying documents has re-registered under the Companies Act 1980 with the same name as that with which it was registered immediately before re-registration save for the substitution as, or inclusion as, the last part of the name of the words "public limited company" or their equivalents in Welsh, references to the name of the company in this certificate and any accompanying documents shall be treated as references to the name with which it is so re-registered.

In accordance with the rules, the words "public limited company" may be replaced by p.l.c., plc, P.L.C. or PLC.

BEST AVAILABLE COPY

Re-registration under the Companies Act does not constitute a new legal entity but merely subjects the company to certain additional company law rules.

PRIORITY DOCUMENT

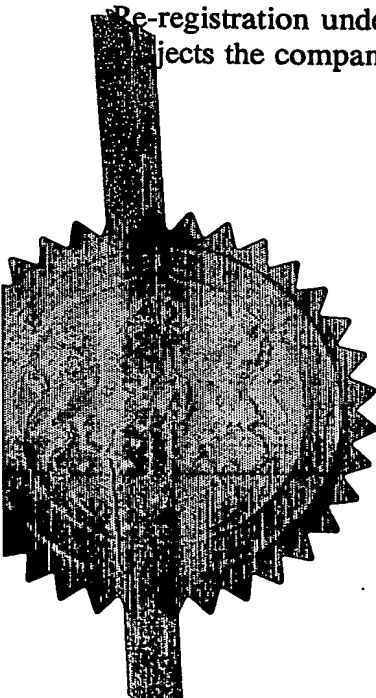
SUBMITTED OR TRANSMITTED IN
COMPLIANCE WITH RULE 17.1(a) OR (b)

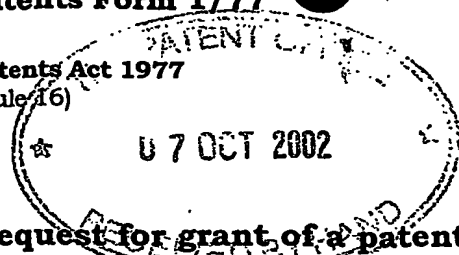
Signed

P. Mahoney

Dated

24 July 2003





Request for grant of a patent

(See the notes on the back of this form. You can also get an explanatory leaflet from the Patent Office to help you fill in this form)

The Patent Office

Cardiff Road
Newport
Gwent NP10 8QQ

| | | | | |
|----|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------|----------------------------------------|
| 1. | Your reference | 4-32716P1 | | |
| 2. | Patent application number (The Patent Office will fill in this part) | 07 OCT 2002 | 0223224.7 | |
| 3. | Full name, address and postcode of the or of each applicant (underline all surnames) | NOVARTIS AG LICHTSTRASSE 35 4056 BASEL SWITZERLAND 07125487005 Patent ADP number (if you know it) If the applicant is a corporate body, give the country/state of its incorporation | | |
| 4. | Title of invention | Organic compounds | | |
| 5. | Name of your agent (If you have one) "Address for service" in the United Kingdom to which all correspondence should be sent (including the postcode) | B.A. YORKE & CO. CHARTERED PATENT AGENTS COOMB HOUSE, 7 ST. JOHN'S ROAD ISLEWORTH MIDDLESEX TW7 6NH | | |
| | Patents ADP number (if you know it) | 1800001 | ✓ | |
| 6. | If you are declaring priority from one or more earlier patent applications, give the country and the date of filing of the or of each of these earlier applications and (if you know it) the or each application number | Country | Priority application number (if you know it) | Date of filing (day/month/year) |
| 7. | If this application is divided or otherwise derived from an earlier UK application, give the number and the filing date of the earlier application | Number of earlier application | Date of filing (day/month/year) | |
| 8. | Is a statement of inventorship and of right to grant of a patent required in support of this request? (Answer 'Yes' if: | Yes | | |
| | a) any applicant named in part 3 is not an inventor, or b) there is an inventor who is not named as an applicant, or c) any named applicant is a corporate body. (see note (d)) | | | |

Patents Form 1/77

9. Enter the number of sheets for any of the following items you are filing with this form. Do not count copies of the same document

Continuation sheets of this form

Description 11

Claim(s) 4

Abstract

Drawing(s)

10. If you are also filing any of the following, state how many against each item.

Priority documents

Translations of priority documents

Statement of inventorship and right to grant of a patent (*Patents Form 7/77*)

Request for preliminary examination and search (*Patents Form 9/77*)

Request for substantive examination (*Patents Form 10/77*)

Any other documents
(please specify)

11.

I/We request the grant of a patent on the basis of this application

Signature

Date

B.A. Yorke & Co

B.A. Yorke & Co.

07 October 2002

12. Name and daytime telephone number of person to contact in the United Kingdom

Mrs. E. Cheetham
020 8560 5847

Warning

After an application for a patent has been filed, the Comptroller of the Patent Office will consider whether publication or communication of the invention should be prohibited or restricted under Section 22 of the Patents Act 1977. You will be informed if it is necessary to prohibit or restrict your invention in this way. Furthermore, if you live in the United Kingdom, Section 23 of the Patents Act 1977 stops you from applying for a patent abroad without first getting written permission from the Patent Office unless an application has been filed at least 6 weeks beforehand in the United Kingdom for a patent for the same invention and either no direction prohibiting publication or communication has been given, or any such direction has been revoked.

Notes

- a) If you need help to fill in this form or you have any questions, please contact the Patent Office on 0645 500505.
- b) Write your answers in capital letters using black ink or you may type them.
- c) If there is not enough space for all the relevant details on any part of this form, please continue on a separate sheet of paper and write "see continuation sheet" in the relevant part(s). Any continuation sheet should be attached to this form.
- d) Once you have filled in the form you must remember to sign and date it.
- e) For details of the fee and ways to pay please contact the Patent Office.

Organic Compounds

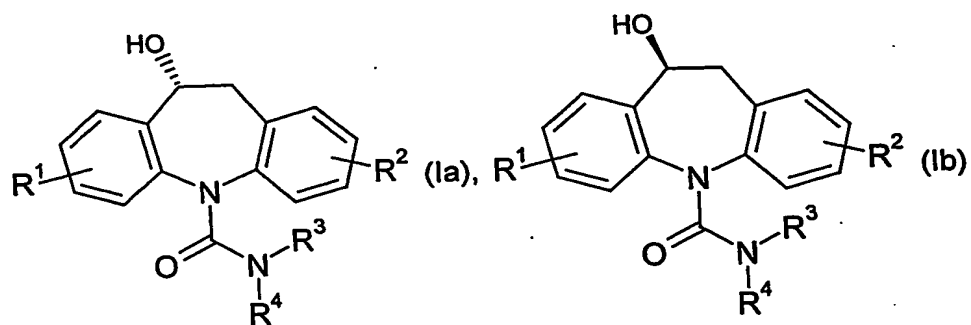
The invention relates to a novel process for the manufacture of substituted enantiopure 10-hydroxy-dihydrodibenz/b,f/azepines by transfer hydrogenation of 10-oxo-dihydrodibenzo/b,f/azepines and to novel catalysts.

Substituted dihydrodibenz/b,f/azepines are understood to be those active agents which may be preferably used to prevent and treat some central and peripheric nervous system disorders. These compounds are well known and some of them have been used widely for the treatment of some pathological states in humans. For example, 5H-dibenz/b,f/azepine-5-carboxamide (carbamazepine) has become established as an effective agent in the management of epilepsy. An analogue of carbamazepine, 10,11-dihydro-10-oxo-5H-dibenzo/b,f/azepine-5-carbamide (oxcarbazepine, see e.g. German Patent 2.011.087) exhibits comparable antiepileptical activity with less side effects than carbamazepine. Oxcarbazepine is metabolized in mammals to 10,11-dihydro-10-hydroxy-5H-dibenzo/b,f/azepine-5-carboxamide (see e.g. Belgian Patent 747.086).

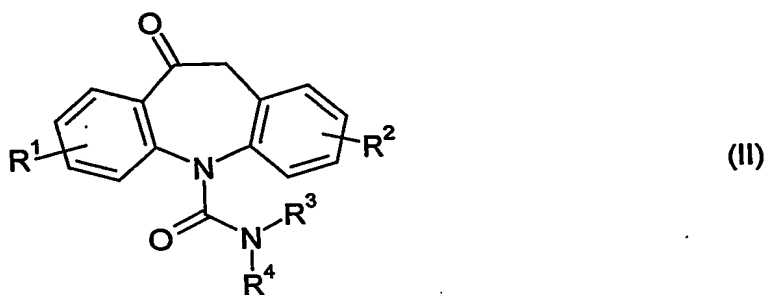
The objective of the present invention is to provide an enantioselective synthesis of substituted 10-hydroxy-dihydrodibenzo/b,f/azepines resulting in high yields and moreover guaranteeing a minimization of the ecological pollution of the environment, being economically attractive, e.g. by using less reaction steps in the reaction sequence for the manufacture of 10,11-dihydro-10-hydroxy-5H-dibenzo/b,f/azepine-5-carboxamide, and leading to largely enantiomerically pure target products and to products that are possible to crystallize. Furthermore, another objective of the present invention is to provide a process that can be carried out in a larger scale and can thus be used as production process.

Surprisingly, the process of the present invention clearly meets the above objectives.

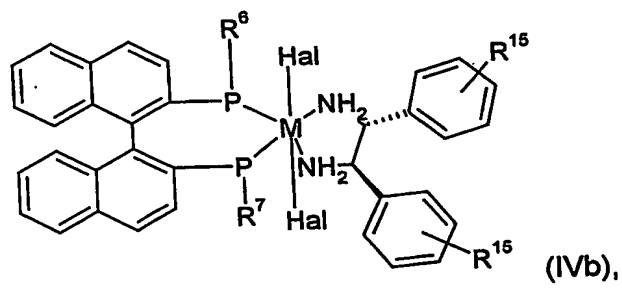
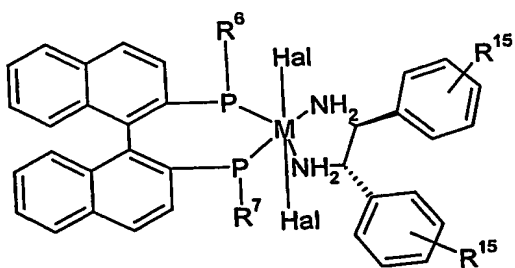
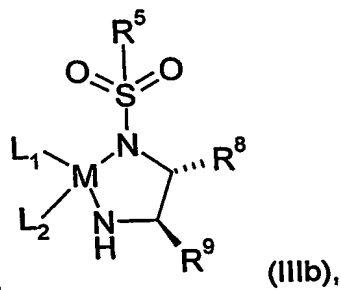
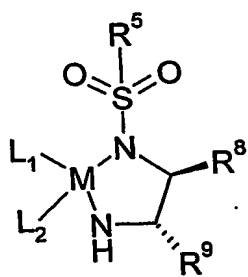
Accordingly the present invention provides a process for the production of a compound of formula Ia or Ib

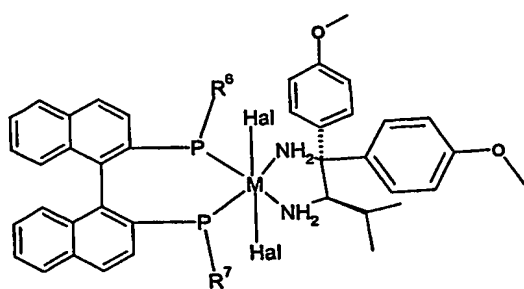


wherein each of R^1 and R^2 , independently, are hydrogen, halogen, amino or nitro; and each of R^3 and R^4 , independently, are hydrogen or C_1 - C_6 alkyl; which process comprises the step of reducing a compound of formula II

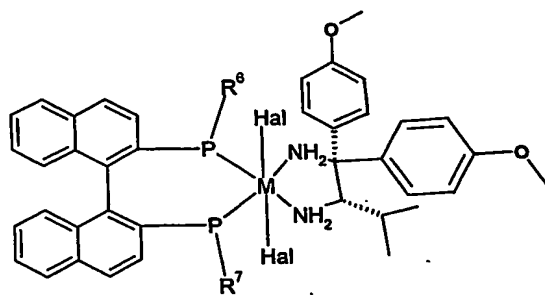


wherein R^1 , R^2 , R^3 and R^4 are as defined above; in the presence of a hydrogen donor and a reducing agent selected from the group consisting of a compound of formula (IIIa), (IIIb), (IVa), (IVb), (Va), (Vb), (VIa) or (VIb)

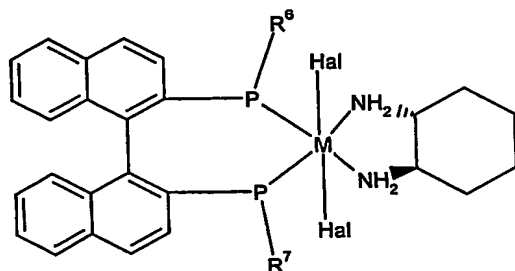




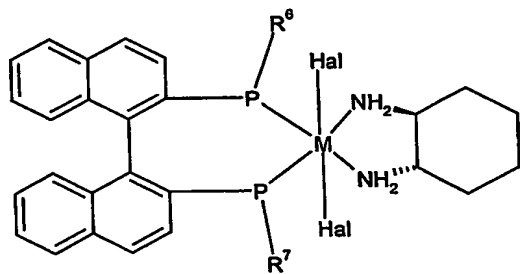
(Va),



(Vb),



(VIa),



(VIb)

wherein

M is Ru, Rh, Ir, Fe, Co or Ni;

L₁ is hydrogen;

L₂ represents an aryl or aryl-aliphatic residue;

Hal is halogen;

R⁶ is an aliphatic, cycloaliphatic, cycloaliphatic-aliphatic, aryl or aryl-aliphatic residue, which, in each case, may be linked to a polymer;

each of R⁶ and R⁷, independently, is an aliphatic, cycloaliphatic, cycloaliphatic-aliphatic, aryl or aryl-aliphatic residue;

each of R⁸ and R⁹ is phenyl or R⁸ and R⁹ form together with the carbon atom to which they are attached a cyclohexane or cyclopentane ring; and

R¹⁵ is H, halogen, amino, nitro or C₁-C₆alkoxy.

Any aromatic residue of a compound of formula (IIIa), (IIIb), (IVa), (IVb), (Va), (Vb), (VIa) or (VIb) is unsubstituted or substituted. For compounds of formula (IVa), (IVb), (Va), (Vb), (VIa) or (VIb), there are combinations with (R)- or (S)-BINAP possible.

An aliphatic hydrocarbon residue is, for example, C₁-C₇alkyl, C₂-C₇alkenyl or secondarily C₂-C₇alkynyl. C₂-C₇Alkenyl is in particular C₃-C₇alkenyl and is, for example, 2-propenyl or 1-, 2-

or 3-butenyl. C₃-C₅alkenyl is preferred. C₂-C₇-Alkynyl is in particular C₃-C₇alkynyl and is preferably propargyl.

A cycloaliphatic residue is, for example, a C₃-C₈cycloalkyl or, secondarily, C₃-C₈cycloalkenyl. C₃-C₈Cycloalkyl is, for example, cyclopropyl, cyclobutyl, cyclopentyl, cyclohexyl and cycloheptyl. Cyclopentyl and cyclohexyl are preferred. C₃-C₈Cycloalkenyl is in particular C₃-C₇cycloalkenyl and is preferably cyclopent-2-en-yl and cyclopent-3-enyl, or cyclohex-2-en-yl and cyclohex-3-en-yl.

A cycloaliphatic-aliphatic residue is, for example, C₃-C₈cycloalkyl-C₁-C₇alkyl, preferably C₃-C₈-cycloalkyl-C₁-C₄alkyl. Preferred is cyclopropylmethyl.

An aryl residue is, for example, a carbocyclic or heterocyclic aromatic residue, in particular phenyl or in particular an appropriate 5- or 6-membered and mono or multicyclic residue which has up to four identical or different hetero atoms, such as nitrogen, oxygen or sulfur atoms, preferably one, two, three or four nitrogen atoms, an oxygen atom or a sulfur atom. Appropriate 5-membered heteroaryl residues are, for example, monoaza-, diaza-, triaza-, tetraaza-, monooxa- or monothia-cyclic aryl radicals, such as pyrrolyl, pyrazolyl, imidazolyl, triazolyl, tetrazolyl, furyl and thienyl, while suitable appropriate 6-membered residues are in particular pyridyl. Appropriate multicyclic residues are anthracenyl, phenanthryl, benzo[1,3]-dioxole or pyrenyl. An aryl residue may be mono-substituted by e.g. NH₂, OH, SO₃H, CHO, or di-substituted by OH or CHO and SO₃H.

An aryl-aliphatic residue is in particular phenyl-C₁-C₇alkyl, also phenyl-C₂-C₇alkenyl or phenyl-C₂-C₇alkynyl.

Any aromatic residue is preferably unsubstituted. It may also be substituted, for example, by one or more, e.g. two or three, residues e.g. those selected from the group consisting of C₁-C₇alkyl, hydroxy, -O-CH₂-O-, CHO, C₁-C₇alkoxy, C₂-C₈alkanoyl-oxy, halogen, e.g. Cl or F, nitro, cyano, and CF₃.

Halogen represents fluorine, chlorine, bromine or iodine.

Polymers may be polystyrene (PS), cross-linked PS (J), polyethylene glycol (PEG) or a silica gel residue (Si). Examples are NH-R^{15} wherein R^{15} is $\text{C(O)(CH}_2)_n\text{-PS}$ or $\text{C(O)NH(CH}_2)_n\text{-PS}$; and $\text{-O-Si(R}^{14})_2(\text{CH}_2)_n\text{R}^{16}$ wherein n is 1 to 7, R^{14} is $\text{C}_1\text{-C}_6\text{alkyl}$, e.g. ethyl, and R^{16} is a PS, J, PEG or Si (obtainable by Aldrich, Switzerland).

In formula (IIIa), (IIIb), (IVa), (IVb), (Va), (Vb), (VIa) or (VIb) the following significances are preferred independently, collectively or in any combination or sub-combination:

M is Ru, Rh, Ir, preferably Ru.

L_2 is isopropylmethylbenzene, benzene, hexamethylbenzene, mesitylene, preferred is isopropylmethylbenzene.

R^5 is 2- or 3- or 4-pyridyl, 4-chloro-4-phenoxy-phenyl, 4-phenoxy-phenyl, 5-di(m)ethylamino-1-naphthyl, 5-nitro-1-naphthyl, 2-, 3-, 4-nitrophenyl, 4-vinylphenyl, 4-biphenyl, 9-anthracenyl, 2,3 or 4 hydroxyphenyl, tolyl, phenanthryl, benzo[1,3]-dioxole, dimethyl(naphthalene-1-yl)-amine, mono to tris(trifluoromethyl)phenyl, chrysenyl, perylenyl or pyrenyl.

Each of R^6 and R^7 , independently, are phenyl, 4-methylphenyl or 3,5-dimethylphenyl, preferred is phenyl.

Each of R^8 and R^9 is phenyl or cyclohexyl or substituted phenyl, preferably is phenyl.

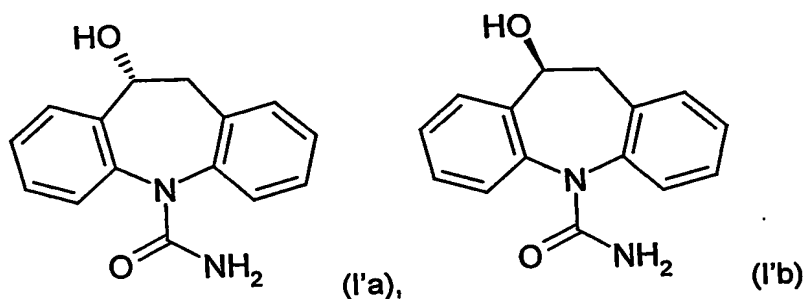
Preferred Hal is chloro.

Preferred R^{15} is H.

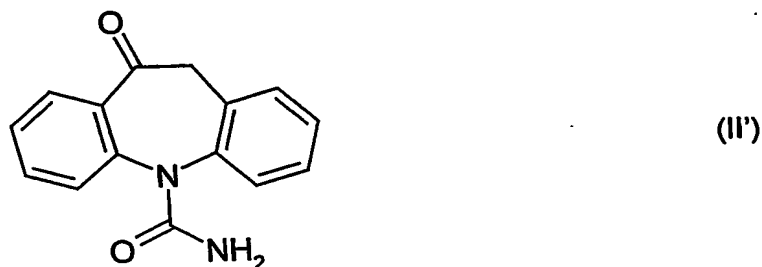
L_1 is as defined above.

A preferred hydrogen donor is, for example, a system comprising 2-propanol, 3-pentanol, or most preferably HOOCH in the presence of an amine, such as triethylamine, DBU or other tertiary amines. The hydrogen donor may also be used as inert solvent, especially 2-propanol and most preferably HCOOH . An alternative hydrogen donor is 2-propanol in the presence of various catalysts and base, e.g. $\text{Ru}[(1S,2S)\text{-}p\text{-TsNCH(C}_6\text{H}_5)\text{CH(C}_6\text{H}_5)\text{NH}](\eta^6\text{-}p\text{-cymene})$ and base or „in situ“ $[\text{Ru}(\eta^6\text{-}p\text{-cymene)Cl}_2]_2$ with chiral ligand (*R,R*- or *S,S*-TsDPEN, amino-alcohol) and base. The preferred bases are: *t*-BuOK, KOH or *i*-PrOK.

In a preferred aspect, the invention provides a process for the production of a compound of formula I'a or I'b



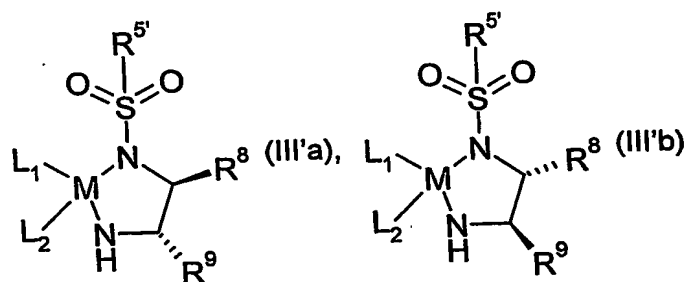
which process comprises the step of reducing the compound of formula II'



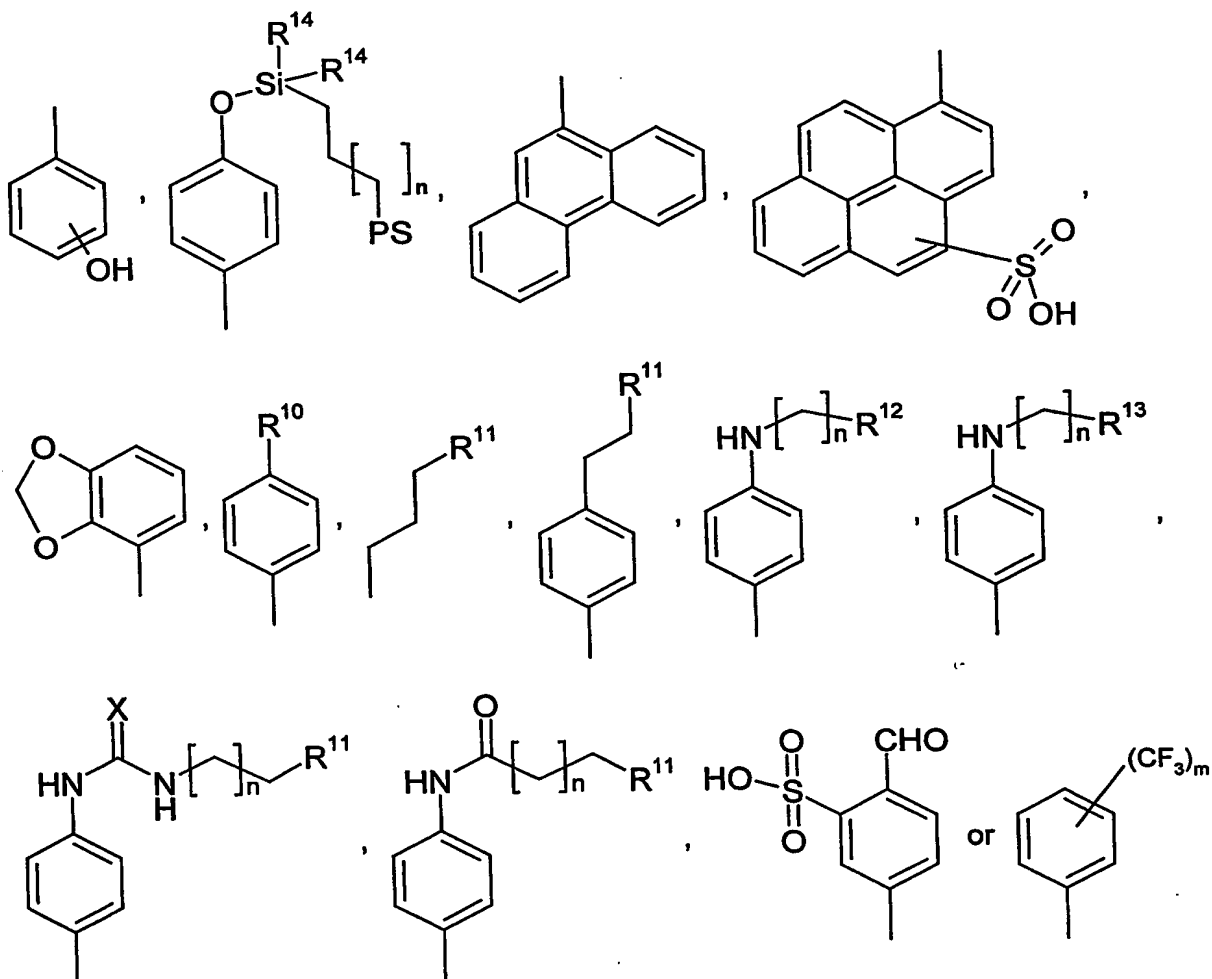
in the presence of a reducing agent selected from the group consisting of a compound of formula (IIIa), (IIIb), (IVa), (IVb), (Va), (Vb), (VIa) or (VIb) as described above and a hydrogen donor.

The compounds of formula II and II' are known and may be prepared as described in WO-A2-0156992.

The invention further provides the novel compounds of formula III'a and III'b



wherein M, L₁, L₂, R⁸ and R⁹ are as defined above and R^{5'} is a group of formula



wherein

n is 0, 1, 2, 3, 4, 5, 6 or 7;

X is O or S;

R^{10} is polystyrol;

R^{11} is silica gel;

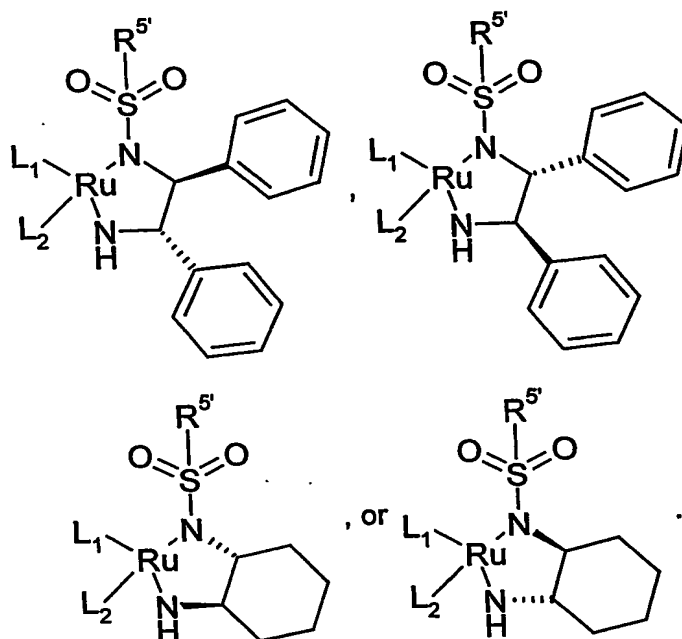
R^{12} is cross-linked polystyrol;

R^{13} is polyethylene-glycol;

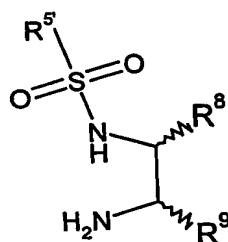
R^{14} is C_1 - C_6 alkyl; and

m is 1, 2 or 3.

The following compounds of formula (III'a) or (III'b) wherein L_1 , L_2 and R^5 are as defined above, are preferred:



Compounds of formula (III'a) or (III'b) may be prepared by reacting a compound of formula VII



(VII),

wherein $R^{5'}$, R^8 and R^9 are as defined above, with $[MCl_2(p\text{-cymene})]_2$ in conventional manner, e.g. as described for $M = Ru$ in the Example 3.

Some compounds of formula (IIIa), (IIIb), (IVa), (IVb), (Va), (Vb), (VIa) or (VIb) are known and may be prepared as described in Haack et al., *Angew. Chem., Int. Ed. Engl.* 1997, 36, 285-288.

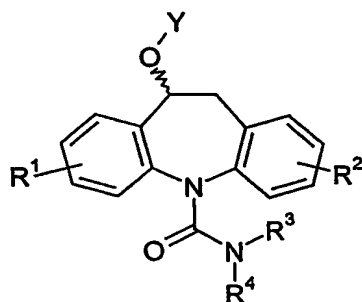
The hydrogenation described above may be carried out, for example in the absence or, customarily, in the presence of a suitable solvent or diluent or a mixture thereof, the reaction, as required, being carried out with cooling, at room temperature or with warming, for example in a temperature range from about -80°C up to the boiling point of the reaction medium, preferably from about -10° to

about +200°C, and, if necessary, in a closed vessel, under pressure, in an inert gas atmosphere and/or under anhydrous conditions.

The hydrogenation may be carried out in a suitable inert solvent, such as an ether, e.g. tetrahydrofuran, an ester, such as ethylacetate, a halogenated solvent, such as methylenchloride, supercritical CO₂, ionic liquids, a nitrile, especially acetonitrile, an amide, such as dimethylformamide or dimethylacetamide and in a temperature range from, for example, from -78°C, to the boiling point of the solvent, preferably at room temperature, e.g. as described in the Examples.

It is known from the art that asymmetric transfer hydrogenation using a Ru (II) catalyst (esp. a Noyori catalyst) is carried out in the absence of water and under inert gas conditions. Surprisingly, the transfer hydrogenation step according to the present invention can be run in a water containing solvent system and in the absence of an inert gas. This means that the reaction is successful even though the solvent used comprised water (3 % by Karl-Fischer titration).

Optionally, the compounds of formula (I) may be converted into their corresponding pro-drug esters of formula (VIII)



(VIII)

wherein

Y is hydrogen, unbranched or branched C₁-C₁₈alkylcarbonyl, aminoC₁-C₁₈alkylcarbonyl, C₃-C₈cycloalkylcarbonyl, C₃-C₈cycloalkylC₁-C₁₈alkylcarbonyl, halogenC₁-C₁₈alkylcarbonyl, unsubstituted or at the aryl substituted C₅-C₁₀arylC₁-C₁₈alkylcarbonyl, unsubstituted or at the heteroaryl substituted C₅-C₁₀heteroarylC₁-C₁₈alkylcarbonyl, C₁-C₁₈alkoxycarbonyl; and R¹, R², R³ and R⁴ are as described above (see also EP-B1-751129 for production conditions).

The following examples illustrate the invention.

Example 1: Procedure for the enantioselective Transfer Hydrogenation of 10-Oxo-10,11-dihydro-dibenzo[*b,f*]azepine-5-carboxylic acid amide to *R*(-)-10,11-Dihydro-10-hydroxy-5*H*-dibenzo[*b,f*]azepine-5-carboxamide:

To a mixture of 10-Oxo-10,11-dihydro-dibenzo[*b,f*]azepine-5-carboxylic acid amide (300 mg, 1.189 mmol) and $\text{RuCl}[(1*R*,2*R*)-p\text{-TsNCH}(\text{C}_6\text{H}_5)\text{CH}(\text{C}_6\text{H}_5)\text{NH}_2](\eta^6\text{-}p\text{-cymene})$, Aldrich, Switzerland) (8.8 mg, 0.0138 mmol) in CH_2Cl_2 (15 ml) is added dropwise a premixed solution of formic acid and NEt_3 (5:2, 328 mg:289 mg) at 23 °C and stirred for 10 min. The clear solution is heated to reflux for 16 h. The reaction mixture is cooled to room temperature (RT), diluted with CH_2Cl_2 (20 ml) and neutralised with aqu. NaHCO_3 . After washing with brine the solution is concentrated under reduced pressure. The residue is purified by flash chromatography on silica gel using a 6:1 EtOAc-MeOH mixture as eluent to afford of *R*(-)-10,11-Dihydro-10-hydroxy-5*H*-dibenzo[*b,f*]azepine-5-carboxamide (enantiomeric purity (ee) > 99 % determined by HPLC on Chiracel OD, Retention time: 9.46 min. $[\alpha]_{\text{D}}^{25} = -195.3^\circ$ (ethanol). $^1\text{H-NMR}$ (400 MHz, CDCl_3): 7.70-7.20 (m, 8 H), 5.30 (br s, 1 H), 5.10-4.60 (br s, 2 H), 3.75-3.40 (m, 1 H), 3.20-2.90 (m, 1 H), 2.50 (br s, 2 H). NMR-Datas refer to Lit.: Benes, J et al., *J. Med. Chem.* **1999**, 42, 2582-2587. Molecular weight: 254.291

Example 2: Procedure for the enantioselective Transfer Hydrogenation of 10-Oxo-10,11-dihydro-dibenzo[*b,f*]azepine-5-carboxylic acid amide to *S*(+)-10,11-Dihydro-10-hydroxy-5*H*-dibenzo[*b,f*]azepine-5-carboxamide:

To a mixture of 10-Oxo-10,11-dihydro-dibenzo[*b,f*]azepine-5-carboxylic acid amide (300 mg, 1.189 mmol) and $\text{RuCl}[(1*S*,2*S*)-p\text{-TsNCH}(\text{C}_6\text{H}_5)\text{CH}(\text{C}_6\text{H}_5)\text{NH}_2](\eta^6\text{-}p\text{-cymene})$ (11 mg, 0.0173 mmol) in CH_2Cl_2 (15 ml) is added in two portions a premixed solution of formic acid and NEt_3 (5:2, 656 mg:578 mg) at 23 °C and stirred for 10 min. After that formic acid is added (50 μl) and the clear solution is heated to reflux for 16 h. The reaction mixture is cooled to RT, diluted with CH_2Cl_2 (20 ml) and neutralised with aqu. NaHCO_3 . After washing with brine the solution is concentrated under reduced pressure. The residue is purified by flash chromatography on silica gel using a 6:1 EtOAc-MeOH mixture as eluent to afford of *S*(+)-10,11-Dihydro-10-hydroxy-5*H*-dibenzo[*b,f*]azepine-5-carboxamide (ee > 99 % by HPLC on Chiracel OD). Retention time: 12.00 min. $[\alpha]_{\text{D}}^{25} = +196.6^\circ$ (ethanol). $^1\text{H-NMR}$ (400 MHz, CDCl_3): 7.70-7.20 (m, 8 H), 5.30 (br s, 1 H), 5.10-4.60 (br s, 2 H), 3.75-3.40 (m, 1 H), 3.20-2.90 (m, 1 H), 2.50 (br s, 2 H). NMR-Datas refer to Lit.: Benes, J et al., *J. Med. Chem.* **1999**, 42, 2582-2587. Molecular weight: 254.291

Alternative production: To a mixture of 10-Oxo-10,11-dihydro-dibenzo[*b,f*]azepine-5-carboxylic acid amide (300 mg, 1.189 mmol) and $\text{RuCl}[(1S,2S)\text{-}p\text{-dansylINCH}(\text{C}_6\text{H}_5)\text{CH}(\text{C}_6\text{H}_5)\text{NH}_2](\eta^6\text{-}p\text{-cymene})$ (8.5 mg, 0.012 mmol) in CH_2Cl_2 (15 ml) is added dropwise a premixed solution of formic acid and NEt_3 (5:2, 328 mg:289 mg) at 23 °C and stirred for 10 min. The clear solution is heated to reflux for 16 h. The reaction mixture is cooled to RT, diluted with CH_2Cl_2 (20 ml) and neutralised with aqu. NaHCO_3 . After washing with brine the solution is concentrated under reduced pressure. The residue is purified by flash chromatography on silica gel using a 6:1 EtOAc-MeOH mixture as eluent to afford of *S*(+)-10,11-Dihydro-10-hydroxy-5*H*-dibenzo[*b,f*]azepine-5-carboxamide.

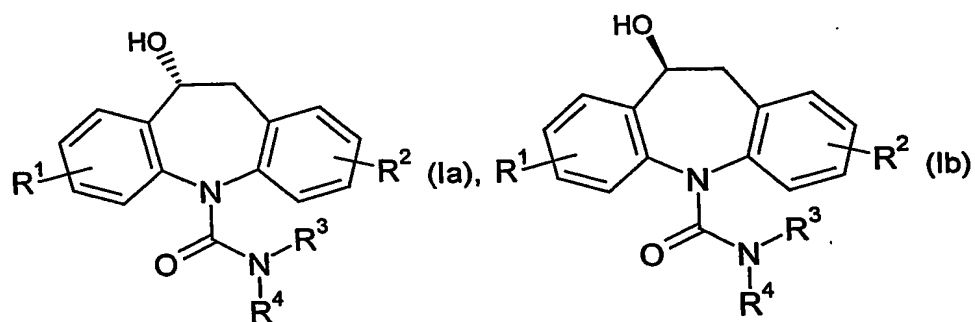
Example 3: Preparation of $\text{RuCl}[(1S,2S)\text{-}p\text{-dansylINCH}(\text{C}_6\text{H}_5)\text{CH}(\text{C}_6\text{H}_5)\text{NH}_2](\eta^6\text{-}p\text{-cymene})$

a) Preparation of (S,S)-5-dimethylamino-naphthalene-1-sulfonic acid (2-amino-1,2-diphenyl-ethyl)-amide: To a solution of (S,S)-diphenylethylenediamine (250 mg, 1.2 mmol) and triethylamine (0.5 ml) in THF is added dropwise a solution of dansyl chloride (318 mg, 1.2 mmol) in THF (2 ml) at 0°C. After stirring 16 h at RT the solvent is removed in vacuum and the residue is resolved in methylenchloride (20 ml). The organic solution is washed with NaHCO_3 solution (5 ml), dried over Na_2SO_4 and after filtration the solvent is removed. Flash chromatographie afford (S,S)-5-dimethylamino-naphthalene-1-sulfonic acid (2-amino-1,2-diphenyl-ethyl)-amide as yellow oil which crystallizes by drying in vacuum. M: 445.59. ^1H -NMR (400 MHz, CDCl_3): 8.36 (t, $J = 7.5$ Hz, 2 H), 8.17 (dd, $J = 7.2, 1.2$ Hz, 1 H), 7.47 (dd, $J = 8.8$ Hz, 1 H), 7.34 (dd, $J = 8.5$ Hz, 1 H), 7.24-7.16 (m, 4 H), 7.11 (d, $J = 7.5$ Hz, 1 H), 6.99-6.74 (m, 6 H), 4.61 (d, $J = 8.5$ Hz, 1 H), 4.20 (d, $J = 8.5$ Hz, 1 H), 2.80 (s, 6 H).

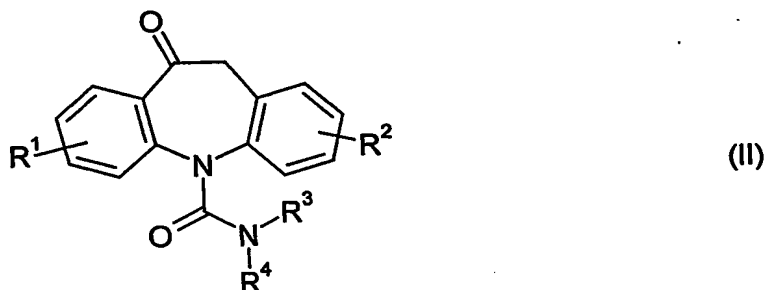
b) Preparation of $\text{RuCl}[(1S,2S)\text{-}p\text{-dansylINCH}(\text{C}_6\text{H}_5)\text{CH}(\text{C}_6\text{H}_5)\text{NH}_2](\eta^6\text{-}p\text{-cymene})$: A solution of (S,S)-5-dimethylamino-naphthalene-1-sulfonic acid (2-amino-1,2-diphenyl-ethyl)-amide (80mg, 0.18 mmol), NEt_3 (36 mg, 0.36 mmol) and $[\text{RuCl}_2(p\text{-cymene})]_2$ (55 mg, 0.09mmol) in 2-propanol is heated at 80°C for 1 h. The solvent is removed after that und the dark red residue is washed with water (2 ml). The solid is dried in vacuum and used without any purification. M: 715.34.

Claims:

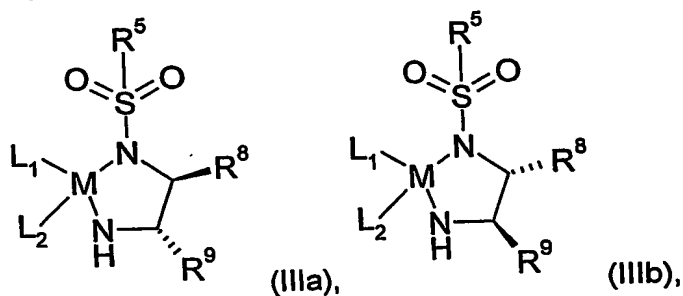
1. A process for the production of a compound of formula Ia or Ib

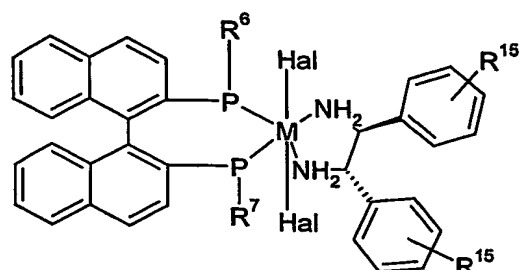


wherein each of R^1 and R^2 , independently, are hydrogen, halogen, amino or nitro; and each of R^3 and R^4 , independently, are hydrogen or C_1 - C_6 alkyl; which process comprises the step of reducing a compound of formula II

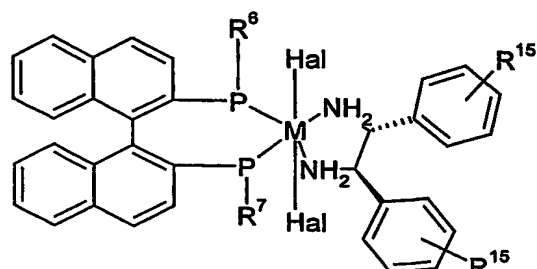


wherein R^1 , R^2 , R^3 and R^4 are as defined above; in the presence of a hydrogen donor and a reducing agent selected from the group consisting of a compound of formula (IIIa), (IIIb), (IVa), (IVb), (Va), (Vb), (VIa) or (VIb)

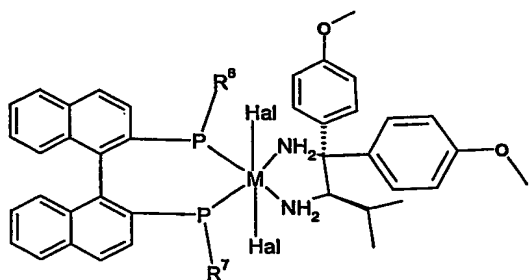




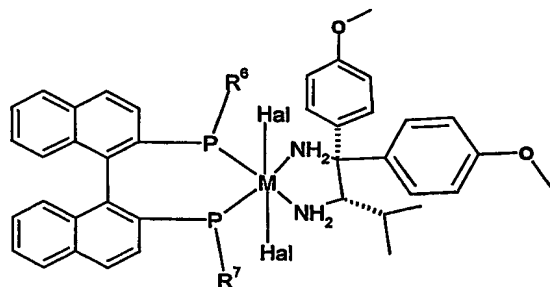
(IVa),



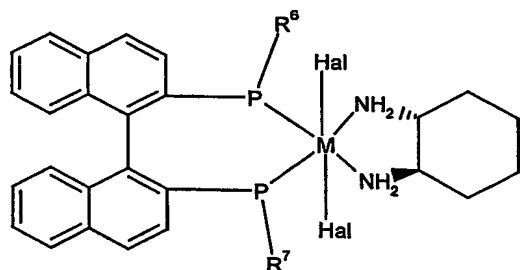
(IVb),



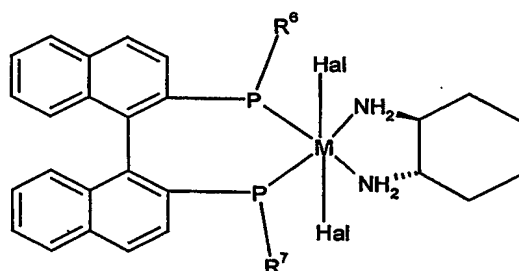
(Va),



(Vb),



(VIa),



(VIb)

wherein

M is Ru, Rh, Ir, Fe, Co or Ni;

L₁ is hydrogen;

L₂ represents an aryl or aryl-aliphatic residue;

Hal is halogen;

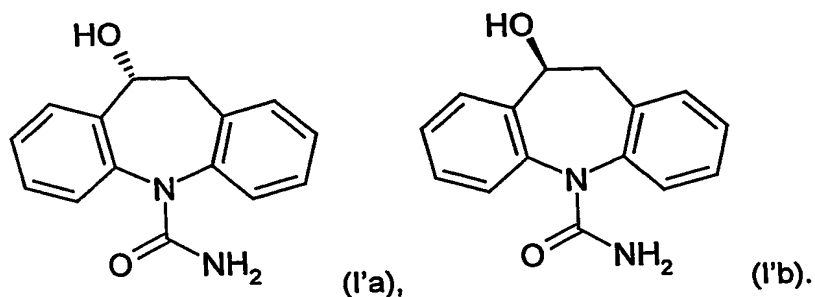
R⁶ is an aliphatic, cycloaliphatic, cycloaliphatic-aliphatic, aryl or aryl-aliphatic residue, which, in each case, may be linked to a polymer;

each of R⁶ and R⁷, independently, is an aliphatic, cycloaliphatic, cycloaliphatic-aliphatic, aryl or aryl-aliphatic residue;

each of R⁸ and R⁹ is phenyl or R⁸ and R⁹ form together with the carbon atom to which they are attached a cyclohexylen or cyclopenten ring; and

R¹⁵ is H, alkyl, halogen, amino, dialkylamino, nitro or C₁-C₆alkoxy.

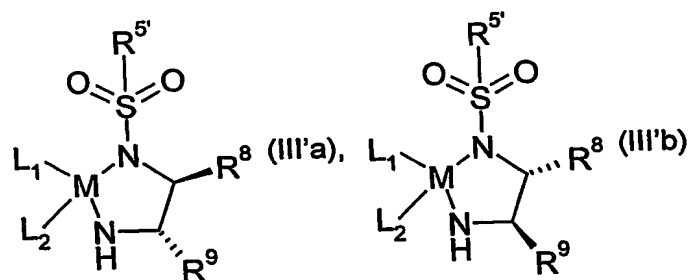
2. The process according to claim 1 for the production of a compound of formula I'a and I'b



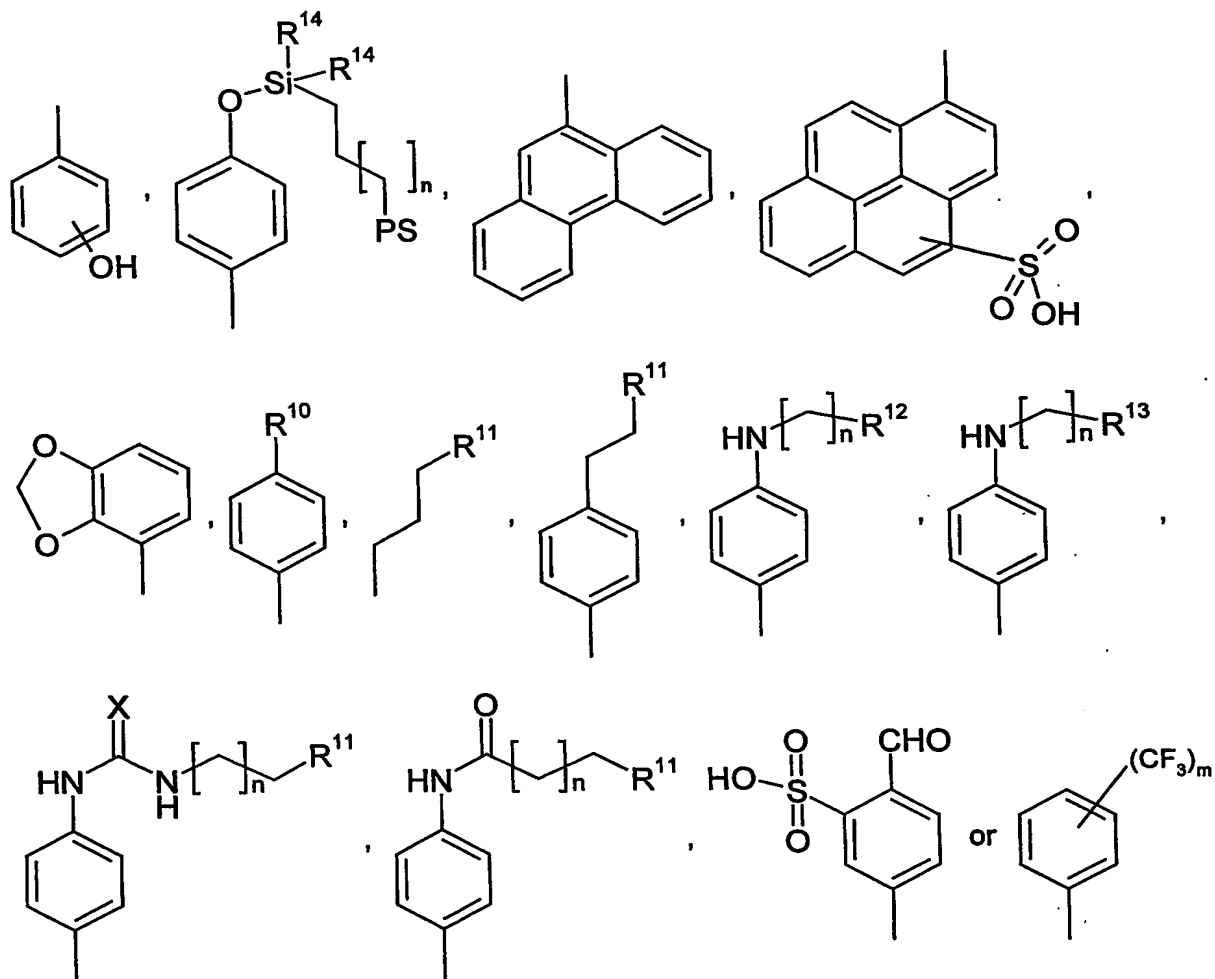
3. The process according to claim 1 whereas the transfer hydrogenation step takes place in a water containing solvent system.

4. The process according to claim 3 whereas the transfer hydrogenation step takes place in the absence of an inert gas.

5. A compound of formula III'a and III'b



wherein M , L_1 , L_2 , R^8 and R^9 are as defined above and $\text{R}^{5'}$ is a group of formula



wherein

n is 0, 1, 2, 3, 4, 5, 6 or 7;

X is O or S;

R^{10} is polystyrol;

R^{11} is silica gel;

R^{12} is cross-linked polystyrol;

R^{13} is polyethylene-glycol;

R^{14} is C_1 - C_6 alkyl; and

m is 1, 2 or 3.

PCT Application

EP0311034



This Page is inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☒ BLACK BORDERS
- ☒ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- ☐ FADED TEXT OR DRAWING
- ☒ BLURED OR ILLEGIBLE TEXT OR DRAWING
- ☒ SKEWED/SLANTED IMAGES
- ☐ COLORED OR BLACK AND WHITE PHOTOGRAPHS
- ☐ GRAY SCALE DOCUMENTS
- ☐ LINES OR MARKS ON ORIGINAL DOCUMENT
- ☐ REPERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- ☐ OTHER: _____

IMAGES ARE BEST AVAILABLE COPY.

**As rescanning documents *will not* correct images
problems checked, please do not report the
problems to the IFW Image Problem Mailbox**